



United Arab Emirates



Regulatory Instrument Designations

Version 2.1

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Regulatory Instrument Designations, Version 2.1, Issued 27. October 2009

Version Control:

Version:	Issue Date:	Reason for Issuance:
2.1	12 October 2009	Addition of "Determination"
2.0	3 February 2008	Substantive adaptations to align with the TRA's Governance Principles
1.0	19 February 2006	Initial Issuance



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Regulatory Instrument Designations

Consultation – a Regulatory Instrument which is issued to solicit the opinions or positions of relevant entities in relation to a particular subject matter.

Consumer Service Information (CSI) – a Regulatory Instrument which is issued to relevant entities requiring the provision of information pertaining to consumers.

Decision - a Regulatory Instrument wherein the TRA issues a binding order regarding an Interconnection Dispute filed in accordance with the TRA's Interconnection Dispute Resolution Procedures.

Directive – a Regulatory Instrument wherein the TRA issues a binding order mandating or prohibiting particular behaviours or activities.

Determination – a Regulatory Instrument wherein the TRA issues a binding order with respect to a formal or informal investigation or examination or at the unilateral discretion of the TRA.

Guidelines – a Regulatory Instrument wherein the TRA provides guidance or clarification regarding obligations imposed upon relevant entities with regard to any relevant law, license, authorization or the TRA's Regulatory Framework.

Instructions - a Regulatory Instrument wherein the TRA issues a compulsory rule or set of rules setting out the relevant entities' obligation(s) to undertake a particular activity in a prescribed manner to achieve a specific goal or result.¹

Questionnaire – a Regulatory Instrument containing questions posed by the TRA to be answered by relevant entities during a fact finding exercise or other inquiry.

Regulations – A Regulatory Instrument which typically relates to the administration of scarce national resources (for example spectrum, numbering, etc.) but which may also be used for other matters, as deemed appropriated by the TRA.

Regulatory Policy – a Regulatory Instrument wherein the TRA identifies an objective and prescribes the method(s) of its achievement. When applicable, a Regulatory Policy may refer to a law, regulation, Regulatory Procedure or any other item within the TRA's Regulatory Framework.²

¹ Prior to the issuance of Regulatory Instrument Designations, Version 2.0, the TRA formerly issued documents titled "Instruction No (X) of YEAR". Henceforth Instructions will only be used in line with the definition above. However all previous Instructions will remain in place and shall continue to have effect.

² Prior to the issuance of Regulatory Instrument Designations, Version 2.0, the TRA formerly issued documents titled "Policy". Henceforth this regulatory mechanism shall be referred to as a "Regulatory Policy". However existing documents entitled "Policies" shall remain in place and shall continue to have effect.



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Regulatory Procedure - a Regulatory Instrument wherein the TRA specifies the means, mechanisms and/or the processes of interaction or behaviour between the TRA and relevant entities; between relevant entities and consumers; between relevant entities and any other party; or, with respect to any other aspect of the relevant entities' activities. A Regulatory Procedure defines the parameters of that interaction or behaviour and may or may not be in relation to the application of another Regulatory Instrument.³

Request for Information (RFI) – a Regulatory Instrument which is issued to relevant entities requiring information pertaining to a particular subject matter.

Response to Petition for Reconsideration – a Regulatory Instrument wherein the TRA issues a binding order regarding a Petition for Reconsideration filed by one or more of the parties with respect to the Decision in an Interconnection Dispute in accordance with the TRA's Interconnection Dispute Resolution Procedures.

Violation Decision - a Regulatory Instrument wherein the TRA identifies conduct which is in violation of a relevant law, license, authorization or the TRA's Regulatory Framework. The Violation Decision may contain a warning, financial penalty and/or any other appropriate measure as determined by the TRA.

³ Prior to the issuance of Regulatory Instrument Designations, Version 2.0, the TRA formerly issued documents titled "Procedures". Henceforth this regulatory mechanism shall be referred to as a "Regulatory Procedure". However existing documents entitled "Procedures" shall remain in place and shall continue to have effect.